



Omgeo OASYS GlobalSM

Broker / Dealers

ALERT
Benchmarks
Central Trade Manager
Connect
MarketMatch
OASYS Global
Transaction Report

Effective trade processing begins with Omgeo OASYS Global, the worldwide electronic trade allocation and confirmation service.

Used by broker/dealers, investment managers and custodian banks around the world, OASYS Global facilitates cross-border trading by minimizing the inefficiency and operational risk associated with manual trade processing. OASYS Global processes the majority of the world's cross-border Electronic Trade Confirmation (ETC) volumes and is recognized by global regulators including the Securities Exchange Commission (SEC) in the U.S., the Financial Services Authority (FSA) in the UK and the Toronto Stock Exchange (TSE) in Canada.

Omgeo OASYS Global for broker/dealers

With OASYS Global, the broker/dealer sends notices of execution (NOE) or blocks to their clients and receives allocations back electronically, enabling them to automatically send contract notes to the investment managers for affirmation on trade date. The broker/dealer has the option of appending settlement instructions at the block or confirm level.

If the broker/dealer subscribes to Omgeo ALERTSM, the global database and standard for the communication of settlement and account instructions, settlement instructions can also be automatically appended to the message.

Omgeo OASYS Global 2.0 Windows Release

With today's strict regulatory environment, it is essential that Omgeo provides the safest and most efficient products to the market. The release of Omgeo OASYS Global 2.0 gives you the confidence to utilize to the full potential an enhanced and more secure system. The release also allows for greater flexibility in responding to the changing financial landscape. By upgrading to Windows, Omgeo will be able to provide a faster turnaround time on industry changes and/or regulatory changes that are required in the product.

The OASYS Global Workstation is now running as a Windows application using the

two latest Microsoft platforms, Windows XP and Vista. A new SSL VPN (Secure Sockets Layer Virtual Private Network) connection method provides faster connectivity over the Internet as well as removing the security risk that many firms see in using dial up modems as a primary connection method. TCP/IP modem dial up will remain as a failover backup and as an option for clients not wishing to use Internet connectivity.

Benefits for broker/dealers

- Reduce your costs - use OASYS Global to reduce your cost base by eliminating the errors inherent in manual and verbal communications and cutting out the duplication of effort and minimizing back-office overhead.
- Automate your trade communications - the electronic comparison of trade details facilitates same-day trade affirmation while dramatically reducing the risk and cost of failed trades. OASYS Global links broker/dealers and investment managers across a broad range of securities and markets, and automation can be applied to all counterparty types and message flows.
- Add value to your trading operations - OASYS Global can be easily integrated with you in house systems and other Omgeo solutions, to enable you to better manage your trade processing. Furthermore, OASYS Global's security cross reference facility enables broker/dealers to identify the security with a local market code and the investment manager to receive the local code plus the appropriate ISIN, SEDOL, etc. More than 106 securities identifiers are available for cross-referencing.

About Omgeo

Omgeo creates certainty in post-trade operations through the automation and timely confirmation of the economic details of trades executed between investment managers and broker dealers. Every day



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Omgeo enables an efficient community of more than 6000 financial services clients in 45 countries to manage matching and exception handling of trade allocations, confirmations, and settlement instructions. Leading organizations rely on Omgeo to help manage an increasingly complex investment industry by providing operational stability and solutions that complement the focus on profitability in an era of escalating trade volumes. Across borders, asset classes, and trade lifecycles, Omgeo is the global standard for operational efficiency across the investment industry. Formed in 2001, Omgeo is jointly owned by the DTCC and Thomson Reuters.

For more information about Omgeo, please visit www.omgeo.com.



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