



Omgeo Central Trade ManagerSM

Settlement Notification

ALERT
Benchmarks
Central Trade Manager
Connect
MarketMatch
OASYS Global
Transaction Report

Standardize communications with your securities services providers via a single channel

What is Omgeo CTM Settlement Notification?

Omgeo CTM offers an optional settlement notification feature as part of the service that enables clients to achieve straight through processing of matched trades through the automatic creation and transmission of settlement messages in industry standard formats. Using this feature, settlement instructions are automatically generated in SWIFT MT54x format and transmitted—via the SWIFT network—to securities services providers, such as custodian banks, fund administrators, securities lending agents, clearing agents or depositories. By selecting the Omgeo CTM settlement notification option, trading parties and settlement agents enjoy the benefits of a standard industry interface and a reduction in fax and proprietary communications costs.

Key Benefits

- Increase matching rates by ensuring all trades received are matched with the trading counterparty
- Reduce exception handling by receiving matched trades automatically enriched with standing settlement data
- Reduce the number of late trades by automatically receiving trades immediately after matching
- Lower risk and cost by reducing the volume of fax communications from clients
- Reduce the cost of developing, deploying and maintaining proprietary communication interfaces with clients
- Increase straight through processing rates by leveraging industry standard messaging formats

- Improve settlement rates by ensuring straight through processing of accurate, automated trades on a timely basis
- Recall lent stock on time through earlier notification of trades
- Enhance the quality of Net Asset Valuations by receiving accurate trade details for accounting on time and in an automated fashion

What's New: Omgeo is Enhancing the Settlement Notification Solution (version 1.10)

Today, investment managers who subscribe to Omgeo CTM settlement notification are able to release a SWIFT message to a single destination, in one format, and when the trade is match agreed (MAGR). Omgeo has enhanced Settlement Notification functionality giving you the ability to release a SWIFT or CSV message to up to 15 predetermined third parties such as legal departments, fund administrators, valuation agents, Japanese Trust Banks, Master Kags, underlying clients, and others. These are generally parties other than those directly involved in matching and settlement activities. Typically, investment managers use secure ftp, fax or other proprietary systems/processes to notify their third parties. These methods are extremely time consuming, error prone, and manual in nature. The enhancement to settlement notification will greatly reduce the time you spend on producing and sending this information and will lower or eliminate the expense of additional proprietary interfaces needed to support these deliveries.

Also, you have the ability to send a pre match agreed trade to your counterparties, especially important in short settlement cycle markets.



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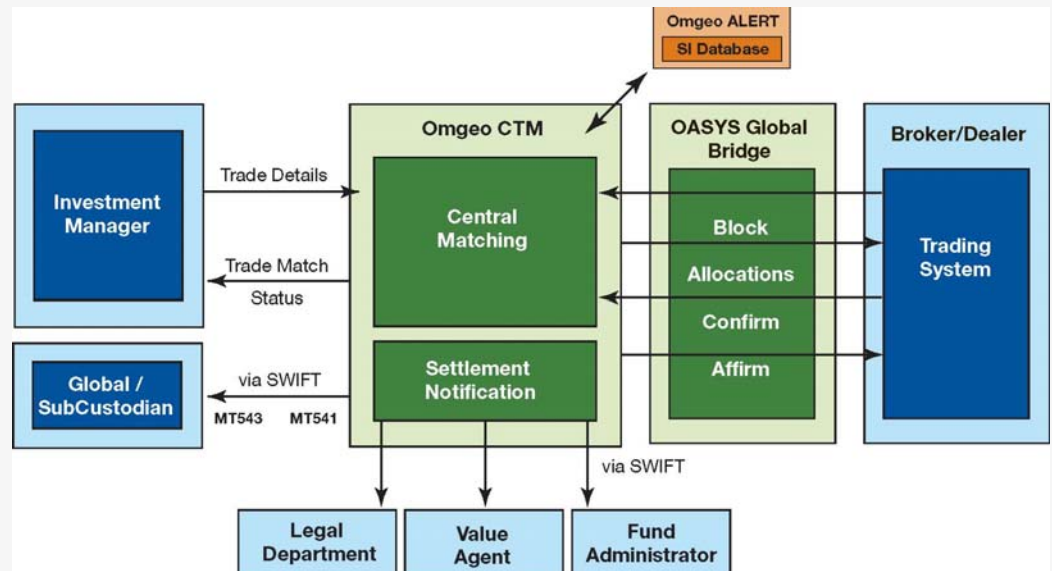
Key Features

- Optional real-time enrichment of settlement notifications with SIs from Omgeo ALERTSM
- Controlled implementation of settlement notification by fund, settlement location, settlement method, security type and settlement agent
- Option to release individual trade details as soon as they match, or wait for the entire trade side to become MATCH AGREED
- Enables users to preview the formatted MT54x message prior to release
- Audit inquiry on all messages sent on your behalf
- Send up to 15 SWIFT messages to third parties for a given allocation via the SWIFT network
- Ability to view, amend, release, cancel, and stop third party details via the Omgeo CTM user interface
- Choose if your organization would like to manually or automatically release these messages.
- Edit certain non-matching fields prior to manual release

About Omgeo

Omgeo creates certainty in post-trade operations through the automation and timely confirmation of the economic details of trades executed between investment managers and broker dealers. Every day Omgeo enables an efficient community of more than 6000 financial services clients in 45 countries to manage matching and exception handling of trade allocations, confirmations, and settlement instructions. Leading organizations rely on Omgeo to help manage an increasingly complex investment industry by providing operational stability and solutions that complement the focus on profitability in an era of escalating trade volumes. Across borders, asset classes, and trade lifecycles, Omgeo is the global standard for operational efficiency across the investment industry. Formed in 2001, Omgeo is jointly owned by the DTCC and Thomson Reuters.

For more information about Omgeo, please visit www.omgeo.com.



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