



Omgeo OASYS-TradeMatchSM

- ALERT
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- Central Trade Manager
- Connect
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- OASYS Global
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- TradeHub
- TradeSuite

Straight through processing (STP) for U.S. domestic trades begins with Omgeo OASYS-TradeMatch —real-time central trade matching for equities and fixed income securities. OASYS-TradeMatch helps investment managers further streamline internal trade processing by automating and accelerating trade allocation, comparison and affirmation. All trade partners benefit from the reduced time and risk.

- User customization - users can specify matching criteria and tolerances
- Allocations to custodians - OASYS-TradeMatch supports the automated communication of a broad range of message types and formats—including MT54x—to custodian banks using Omgeo TradeHubSM
- Trade notification - automatically distributes matched and matched-affirmed confirmations to all trade counterparties

How OASYS-TradeMatch works

OASYS-TradeMatch OASYS-TradeMatch provides a seamless central matching solution for U.S. domestic trades that allows investment managers' OASYSSM allocations to be matched to ID confirms entered by executing broker/dealers improving same day affirmation and settlement rates.

Benefits

- Accelerates trade management and reduces risk of trade failure by providing a single point of access. Allocations can be routed to broker/dealers and custodians with one message.
- Automates trade affirmations upon finding a match, further streamlining trade processing.

Features

- Exception processing - by focusing exclusively on unmatched trades, investment managers can quickly identify reasons for matching failures and take action
- Enrichment with centralized SSI - OASYS-TradeMatch offers enrichment with the Omgeo ALERTSM and SIDSM centralized databases to minimize manual input and maximize allocation matching

Integrated services

Omgeo ALERT/Omgeo SID - To receive the full benefits of the OASYS-TradeMatch service, investment managers can use Omgeo ALERT, the global database and standard for the communication of settlement and account instructions, and Omgeo SID, the U.S. domestic database for settlement instructions. ALERT and SID can be used to derive settlement information associated with the agent ID number and agent internal account number on OASYS allocations. SID can be used to enrich confirmations and set tolerances for matching criteria.

Omgeo NearMatchSM - Omgeo NearMatch, a U.S. domestic exception-processing tool for investment managers, automatically groups and aligns unmatched allocations and confirmations, highlighting the matching discrepancies that cause trade exceptions. Additionally, NearMatch streamlines and automates corrective actions to resolve matching failures. With a few clicks, an investment manager can affirm, cancel or change allocations*, and send advice of cancellation or correction to broker/dealers to resubmit confirmations.

*Changes to allocations via NearMatch will not be reflected in OASYS.



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Matching criteria

Mandatory Match Fields: Institution ID Number, Broker/Dealer ID Number, Agent ID Number, Buy/Sell, Security Identifier, Share/Face Value, Net Settlement Amount, Settlement Date, Settlement Location

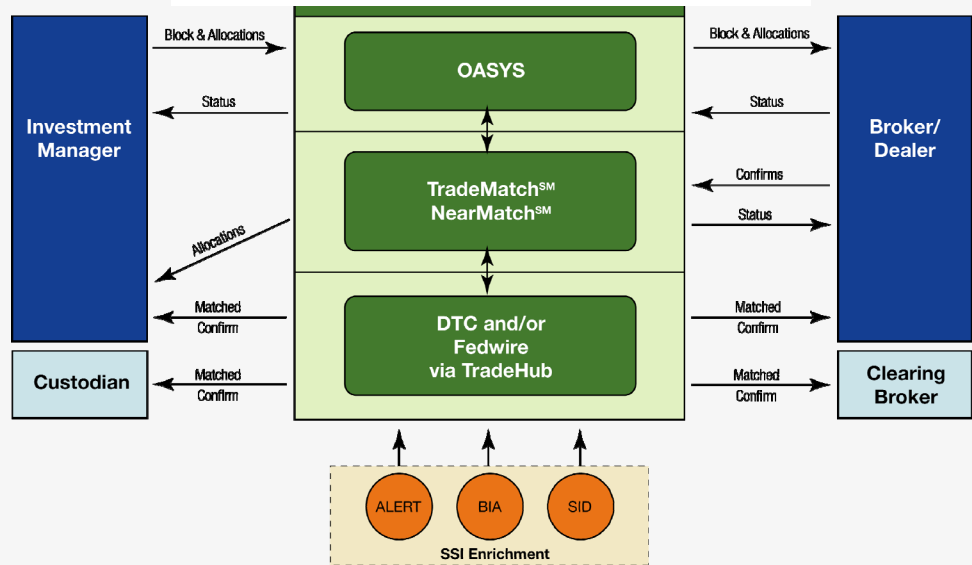
Optional Match Fields: Executing Broker-Dealer Internal Account Number, Institutional Internal Account Number, Trade Price, Trade Date, Principal Amount, Interest, SEC/Registration/Shipping Fees, Commission Fee, Country Tax, Local Tax, Other Changes, Security Type and Executing Broker-Dealers Clearing Broker ID number.

About Omgeo

Omgeo creates certainty in post-trade operations through the automation and timely confirmation of the economic details of trades executed between investment managers and broker dealers. Every day Omgeo enables an efficient community of more than 6000 financial services clients in 46 countries to manage matching and exception handling of trade allocations, confirmations, and settlement instructions. Leading organizations rely on Omgeo to help manage an increasingly complex investment industry by providing operational stability and solutions that complement the focus on profitability in an era of escalating trade volumes. Across borders, asset classes, and trade lifecycles, Omgeo is the global standard for operational efficiency across the investment industry. Formed in 2001, Omgeo is jointly owned by the DTCC and Thomson Reuters.

For more information about Omgeo, please visit www.omgeo.com.

Omgeo OASYS-TradeMatch Trade Flow



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