



Omgeo OASYS GlobalSM

ALERT
Benchmarks
Central Trade Manager
Connect
MarketMatch
OASYS Global
Transaction Report

Effective trade processing begins with Omgeo OASYS Global, the worldwide electronic trade allocation and confirmation service.

Used by broker/dealers, investment managers and custodian banks around the world, OASYS Global facilitates cross-border trading by minimizing the inefficiency and operational risk associated with manual trade processing. OASYS Global processes the majority of the world's cross-border Electronic Trade Confirmation (ETC) volumes and is recognized by global regulators including the Securities Exchange Commission (SEC) in the U.S., the Financial Services Authority (FSA) in the UK and the Toronto Stock Exchange (TSE) in Canada.

OASYS Global for investment managers

At the block level, the investment manager electronically receives a notice of execution (NOE) from the broker/dealer and accepts or rejects the trade details at the touch of a key. If accepted, the investment manager then allocates the trade into its separate client accounts and sends these allocations to the broker/dealer electronically. If the investment manager subscribes to Omgeo ALERTSM, the settlement instructions can be automatically appended to the allocation message. In return, the broker/dealer replies with completed contract notes for each allocation. The investment manager can then accept to affirm the trade, or reject the contract notes individually.

At the confirmation level, if the investment manager chooses to continue to inform the broker/dealers of allocations through other means, the investment manager will electronically receive contract notes for each allocation from the broker/dealers, including standing settlement instructions from ALERT. The investment manager can then accept or reject these contract notes individually.

Benefits

- Reduce your costs - use OASYS Global to reduce your cost base by eliminating the errors inherent in manual and verbal communications such as phone calls, faxes and telex, and by cutting out the duplication of effort and minimising back-office overhead.

- Automate your trade communications - the electronic comparison of trade details facilitates same-day trade affirmation while dramatically reducing the risk and cost of failed trades. OASYS Global links broker/dealers and investment managers across a broad range of securities and markets, and automation can be applied to all counterparty types and message flows.
- Add value to your trading operations – OASYS Global can be easily integrated with your in-house systems and with other Omgeo solutions, such as Omgeo Central Trade ManagerSM, ALERT, AutoMatch and Omgeo BenchmarksSM, to enable you to better manage your trade processing. Additionally, the OASYS Global security cross reference facility enables broker/dealers to identify the security with a local market code and the investment manager to receive the local code plus the appropriate ISIN, SEDOL, etc. More than 106 securities identifiers are available for cross-referencing.

Omgeo OASYS Global is a leading provider of electronic trade processing solutions. It offers a comprehensive suite of services, including trade confirmation, allocation, and settlement. The platform is designed to streamline the trade processing workflow, reducing operational risk and improving efficiency. Omgeo OASYS Global is recognized by global regulators and is used by a wide range of financial institutions, including broker/dealers, investment managers, and custodian banks. The platform supports a variety of securities and markets, and can be integrated with existing systems. Omgeo OASYS Global is a key component of the Omgeo suite of solutions, which also includes ALERT, Benchmarks, Central Trade Manager, Connect, MarketMatch, and Transaction Report. Together, these solutions provide a complete trade processing solution for financial institutions. Omgeo OASYS Global is a leading provider of electronic trade processing solutions. It offers a comprehensive suite of services, including trade confirmation, allocation, and settlement. The platform is designed to streamline the trade processing workflow, reducing operational risk and improving efficiency. Omgeo OASYS Global is recognized by global regulators and is used by a wide range of financial institutions, including broker/dealers, investment managers, and custodian banks. The platform supports a variety of securities and markets, and can be integrated with existing systems. Omgeo OASYS Global is a key component of the Omgeo suite of solutions, which also includes ALERT, Benchmarks, Central Trade Manager, Connect, MarketMatch, and Transaction Report. Together, these solutions provide a complete trade processing solution for financial institutions.



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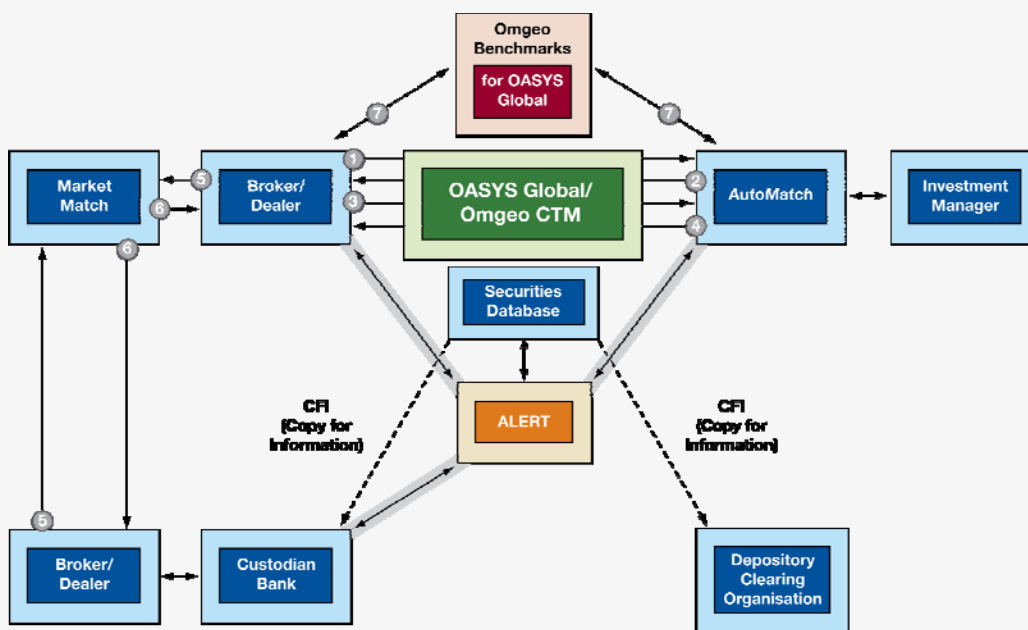
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About Omgeo

Omgeo creates certainty in post-trade operations through the automation and timely confirmation of the economic details of trades executed between investment managers and broker dealers. Every day Omgeo enables an efficient community of more than 6000 financial services clients in 45 countries to manage matching and exception handling of trade allocations, confirmations, and settlement instructions. Leading organizations rely on Omgeo to help manage an increasingly complex investment industry by providing operational stability and solutions that complement the focus on profitability in an era of escalating trade volumes.

Across borders, asset classes, and trade lifecycles, Omgeo is the global standard for operational efficiency across the investment industry. Formed in 2001, Omgeo is jointly owned by the DTCC and Thomson Reuters.

For more information about Omgeo, please visit www.omgeo.com.



- 1 • Broker/Dealer send trade details of block trade
- 1 • Broker Delivery Instructions appended from ALERT (if appl.)
- 1 • IM accepts or rejects details of block trade
- 2 • If details are accepted, allocations are sent
- 2 • IM Account Instructions & Broker Internal account #s are appended from ALERT
- 3 • Broker/Dealer accepts or rejects allocations individually
- 3 • Broker/Dealer creates contract notes for each allocation
- 4 • IM affirms or rejects contract notes for each allocation
- 4 • Copy of affirmed contract note sent (where applicable)
- 5 • Input trade details
- 6 • Notification on matched & unmatched trades
- 7 • Historical measurement of transaction performance



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