



Omgeo OASYSSM

Industry Standard Trade Allocation and Acceptance Service

ALERT

- Benchmarks
- Central Trade Manager
- Connect
- OASYS**
- OASYS Global
- OASYS-TradeMatch
- TradeHub
- TradeSuite

Streamline your U.S. domestic post-trade SMA process.

Can you sustain your firms projected growth, while minimizing your cost structure?

The SMA industry today is at a similar crossroad that the mutual fund industry faced several years ago where the lack of standardized systems and processes impacted growth potential and threatened profitability margins. While the complexities of the SMA world are greater, the same challenge of streamlining operations, while continuing to cut costs, exists. Omgeo OASYSSM, the U.S. domestic industry standard trade allocation and acceptance service, is a proven SMA solution that brings automation to an increasingly complex, and in some cases manual, industry where trade processes have started to affect trading scale and capacity. Omgeo OASYS streamlines post-trade communications and processes between investment managers and plan sponsors/executing brokers by replacing error-prone faxes, phone calls, email and multiple point-to-point connections – improving efficiency, lowering costs and reducing the risk of trade failure by providing counterparties with accurate and complete trade information.

Solution Overview

Whether trading directly with a plan sponsor or with another executing broker, Omgeo OASYS is the right solution for you. Investment managers can automatically send their allocations via OASYS to their plan sponsor(s) and/or executing broker(s) following trade execution. Sponsors/executing brokers can accept or reject trade details and allocations on the same business day, ensuring that all trade details are correct prior to settlement.

For trade-aways, OASYS enables sponsors/step-in brokers to automatically receive allocation messages from their investment manager counterparts, and accept or reject allocations knowing that the executing broker has already accepted them.

For accounts leveraging a third party

custodian, Omgeo TradeMatchSM, when used in conjunction with OASYS, allows managers to further streamline their internal trade processing by automating and accelerating trade allocation, matching, and affirmation. Omgeo TradeMatch is the real-time U.S. domestic central trade matching service for equities and fixed income securities. With this solution, investment managers' OASYS allocations feed directly into TradeMatch to match and match-affirm confirmations entered by executing brokers, and then seamlessly transmit the settlement details to custodian banks.

Key Benefits

With Omgeo OASYS, clients can:

- Leverage a single interface for all U.S. domestic fixed income and equity allocations for multiple lines of business, including institutional clients and separately managed accounts.
- Reduce costs by eliminating duplication of effort, minimizing back-office overhead, and lowering processing costs associated with manual processes and point-to-point communications.
- Effectively manage trade-aways by sending step out allocations to multiple plan sponsors.
- Take advantage of real-time processing of trade activity, accepting or rejecting trade details on the same business day to ensure that all details are correct prior to settlement.
- View the current status of all trade activities.
- Seamlessly connect to Omgeo OASYS while leveraging your existing OMS investment.
- Automatically enrich your SMA trades with standing settlement instructions (SSI) via optional subscription to Omgeo ALERTSM.



All together now.



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When used in conjunction with Omgeo TradeMatch, additional benefits include:

- Minimize inefficiency and operational risk associated with sending manual trade notifications to the custodian (for custodied away accounts) by leveraging Omgeo's connectivity to your custodian.
- Take advantage of real-time central matching of your fixed income and equity trades, regardless of whether the plan sponsor or executing broker uses OASYS.
- Simplify exception processing by focusing exclusively on unmatched trades.
- Finalize your trade with ease, receiving settlement notification and reconciliation information directly from your custodian bank via optional subscription to Omgeo TradeHubSM.

trades executed between investment managers and broker/dealers. Every day Omgeo enables an efficient community of more than 6000 financial services clients in 46 countries to manage matching and exception handling of trade allocations, confirmations, and settlement instructions. Omgeo has also extended its trade lifecycle coverage to include counterparty risk management, which supports end-to-end collateralization and reconciliation across multiple asset classes. Leading organizations rely on Omgeo to help manage an increasingly complex investment industry by providing operational stability and solutions that complement the focus on profitability in an era of escalating trade volumes. Across borders, asset classes, and trade lifecycles, Omgeo is the global standard for operational efficiency across the investment industry. Formed in 2001, Omgeo is jointly owned by the DTCC and Thomson Reuters.

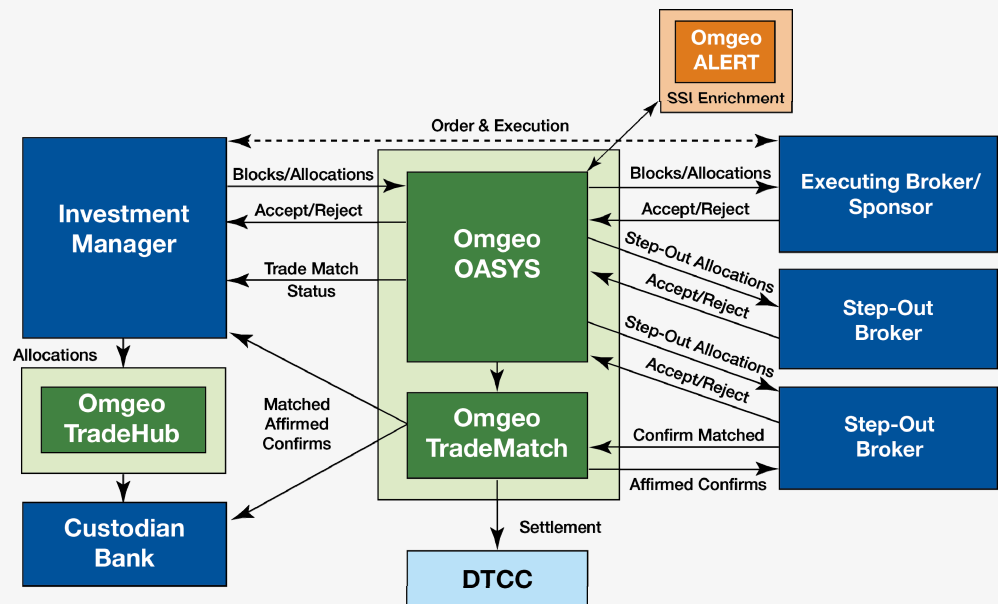
Contact Us

To learn more about how Omgeo can further automate your separately managed account post-trade process, please call +1 866 49 OMGEO or visit www.omgeo.com.

About Omgeo®

Omgeo creates certainty in post-trade operations through the automation and timely confirmation of the economic details of

How the Solution Works



www.omgeo.com