



# Omgeo ProtoColl®

More Important Than Ever, Optimize your Collateral and Processes

ALERT  
 Benchmarks  
 Central Trade Manager  
 Connect  
 CrossCheck  
 OASYS  
 OASYS Global  
 OASYS-TradeMatch  
**ProtoColl**  
 TradeHub  
 TradeSuite

## Supports collateralized portfolios including but not limited to OTC Derivatives, TBAs, Repo, FX Forwards and Sec Lending

Omgeo ProtoColl, is the most complete, easy to use collateral management solution available today. It helps automate the margin call process via efficient workflow allowing you to manage by exception.

As the derivatives market diversifies and matures, and as far more scrutiny is placed upon the credit risk space, all industry participants must ensure that risks are not only identified but suitably mitigated, and that collateral-related information is processed consistently and managed according to policy and best practices.

We have seen that even with the passage of financial regulation, requiring some OTC derivatives onto exchanges, there's still a distinct need by most market participants to manage the trillions of dollars worth of outstanding collateral. It's simply not going away.

ProtoColl is a one-stop solution built to help streamline and automate your business:

- cover all your business lines on a single platform from OTC Derivatives and Repo, through Energy and Securities Lending, to Futures & Options;
- automatically monitor the terms of your agreements and credit support annexes;
- generate the exposure of each portfolio;
- calculate all the necessary margin calls (and recalls);
- notify (clients and) counterparties of the calls; and
- handle the ensuing negotiations; process the mitigating collateral orders; and help track and optimize your collateral book.

### Let ProtoColl Do the Leg Work

ProtoColl is designed to do the 'heavy-lifting'. By utilizing ProtoColl, you can achieve a proactive approach to collateral management and take advantage of unique functionality designed to help minimize operational inefficiencies and improve productivity.

#### • Exceptions-Based Approach

Remove your reliance on external processes across your day to day activities, save valuable time and identify potential issues earlier in the process. ProtoColl handles the 'daily grind' allowing you to focus on the critical exceptions.

#### • Complete Automation and STP

Harness the power of ProtoColl and reduce your operational risks by streamlining your business and removing manual intervention and human error. For example, margin call notices can be delivered to your counterparties automatically, even before your collateral management team is in the office.

#### Ease of Use

ProtoColl was built with the user in mind. It's out of the box functionality and flexibility provides you with the easiest to use and most functionally rich system available.

#### • Intuitive Dashboards

Dashboards ensure optimal delivery of information, allowing you to manage your tasks efficiently from one location. A single dashboard is all that's needed to observe, monitor and issue margin call notices.

#### • Sophisticated Workflow

Extensive "contingent" workflow guides users through complex processes and underpins all your collateral management tasks, while the flexibility to configure this workflow ensures an environment tailored to your business. Complementary roles based user access ensures customized views within a secure, audited environment.

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All together now.



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## Implementation and Ownership

- **Implementation and Integration**  
Highly configurable, ensuring a low impact, quick implementation that minimizes demand on your internal resources.

- **Increased Capacity**  
The most cost effective solution and is able to scale with your business to ensure effective and efficient use of resources and unrivalled productivity.

## Omgeo ProtoColl – Complete Control

**Margin Call Management**  
Single cross-product platform for all margin call activity including: agreement negotiation and maintenance; sophisticated margin call management; disputes tracking and resolution.

## Order Management

Sophisticated order workflow for all agreed margin calls, which guides you through the appropriate steps to ensure its fulfillment and subsequent communication with your counterparties and/or custodians.

## Source and Track Collateral

Designed with inventory management in mind. Identify positions to use as eligible collateral, track collateral use, report on rehypothecation (re-use) and ensure full optimization of your collateral.

## Portfolio Reconciliation

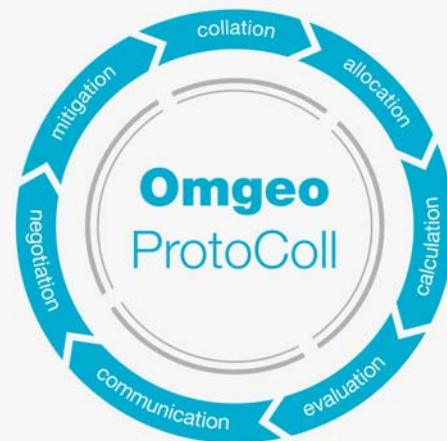
Manage and resolve disputes with counterparties more efficiently and ensures accurate and speedy reconciliations and identification of issues. Supports ISDA's standard format for reconciliation.

## Cash Interest Management

Handle accruals on cash collateral, produce statements and ensure timely collection and payment of cash collateral interest. Define fixed or floating rates against flexible accrual, capitalization and distribution schedules.

## Executive Oversight and Reporting

Improve the quality and flow of data throughout your business. Provides flexible and extensive reporting, keeping users fully informed. Reports can be exported in many formats, including Excel, CSV and PDF, and delivered on-line, through the intranet, messaging or via email.



## Hosted Solution

You now have the added benefit of utilizing ProtoColl in Omgeo's secure hosted environment or as a standalone application. By leveraging the infrastructure of Omgeo, you automatically remove the need for capital expenditure on hardware and gives you an entry point that has a low initial investment. Additionally, we'll support the system so you can do more with what you have without the worry of system maintenance.

Our expert staff and 24/6 support, will ensure you have everything needed to make the collateral management process as efficient as possible.

## About Omgeo

Omgeo creates certainty in post-trade operations through the automation and timely confirmation of the economic details of trades executed between investment managers and broker dealers. Every day Omgeo enables an efficient community of more than 6000 financial services clients in 45 countries to manage matching and exception handling of trade allocations, confirmations, and settlement instructions. Leading organizations rely on Omgeo to help manage an increasingly complex investment industry by providing operational stability and solutions that complement the focus on profitability in an era of escalating trade volumes. Across borders, asset classes, and trade lifecycles, Omgeo is the global standard for operational efficiency across the investment industry. Formed in 2001, Omgeo is jointly owned by the DTCC and Thomson Reuters.

For more information about Omgeo, please visit [www.omgeo.com](http://www.omgeo.com).



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