



Omgeo TradeSuiteSM QC Plus

Client FAQ

ALERT
 Benchmarks
 Central Trade Manager
 Connect
 OASYS
 OASYS Global
 OASYS-TradeMatch
 TradeHub
 TradeSuite

What is Omgeo TradeSuite QC Plus and how does it differ from TradeSuite QC Online?

TradeSuite QC report data is instrumental in helping users identify and remedy pain points in their post trade processes. By moving paper QC reports to the web, QC Online improved the overall user experience by making it easier to access and share this data.

Built on a robust, web-based platform, QC Plus brings this functionality to the next level by providing clients with the ability to intelligently analyze data and customize reporting with sorting and filtering tools. With the ability to view data subdivided by categories (i.e. buy / sell, affirming party, etc.), users no longer need to spend time manually re-keying information in order to package data in a meaningful format. With the incorporation of a sophisticated data analytics tool, QC Plus maximizes the value of QC Data by giving users the ability to:

- Manipulate data
- Customize reports
- Combine reporting against multiple IDs within a single QC report
- Download data to local files for further handling
- Feed data into an internal MIS system

Do clients have to be current TradeSuite users to be eligible for QC Plus?

Yes, as data is taken from TradeSuite on a monthly basis.

What is the installation process? Is testing required?

QC Plus is a web-based product, so no implementation or testing is necessary. Users can access the functionality as soon as they receive a user name and password.

Are pre-set reports available?

Yes, see the table below for report descriptions.

| Report Name | Data |
|--|---|
| Institution Input and Affirmation Top Level | Gives institutions a breakdown, by agent bank, of brokers who submitted trades on their behalf. The affirmation rate (percentage of trades that are affirmed by noon of S-1) for each broker is further subdivided by DTC eligible and ineligible trades. |
| Institution Agent Input and Affirmation Analysis Drill Down | Gives institutions a view into the input, cancellation and affirmation rates at agent banks for all brokers submitting trades on their behalf, further subdivided by eligible and non-eligible security types. |
| Institution Broker Input and Affirmation Analysis Drill Down | Provides institutions a view into the input, cancellation and affirmation rates for brokers submitting trades on their behalf across all agent banks, further subdivided by eligible and non-eligible security types. |



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| Report Name | Data |
|--|---|
| Institution Broker/Agent Input and Affirmation Analysis Drill Down | Gives institutions a view into the input, cancellation and affirmation rates for specific brokers submitting trades on their behalf to specific agent banks, further subdivided by eligible and non-eligible security types. |
| Broker Input and Affirmation Analysis Top Level | An affirmation analysis overview for brokers segmented by each agent bank / institution pair to whom they submit trades. The affirmation rate (percentage of trades that are affirmed by noon of S-1) for each agent bank / institution pair is further subdivided by DTC eligible and ineligible trades. |
| Broker DTCC Ineligible Drill Down | Provides brokers with an affirmation analysis overview of DTC ineligible trades by each agent bank/institution pair to whom they submit trades. |
| Agent Bank Summary | An affirmation analysis overview for agent banks segmented by each institution for whom they act as clearing agent. The affirmation rate (percentage of trades that are affirmed by noon of S-1) for each Institution is further subdivided by DTC eligible and ineligible trades. |
| Agent Bank Detail – Eligible Securities | Gives agent banks an input, cancellation and affirmation analysis overview by each institution for whom they act as clearing agent. Analysis is subdivided for each agent bank internal account number assigned to each institution. There could be multiple entries for the same IM; one for each account # held at the bank. This report details DTCC eligible security only. |
| Agent Bank Detail – Ineligible Securities | Gives agent banks an input, cancellation and affirmation analysis overview by each institution for whom they act as clearing agent. Analysis is subdivided for each agent bank internal account number assigned to each Institution. There could be multiple entries for the same IM; one for each account # held at the bank. This report details DTCC ineligible security only. |
| Peer Comparison | Available for brokers and investment managers, this report shows rankings of a firm's performance with respect to other firms of comparable size. Provides separate rankings for eligible and ineligible confirms. |
| Raw Data | A flat file suitable for uploading into your own MIS reporting system, desired. Documentation will be provided to assist in the data mapping. |

How do I sign-up for this service?

Visit www.omgeo.com/tradesuite to begin benefitting from QC Plus now.

Where can I find additional information?

For further information on QC Plus, please visit the TradeSuite page on www.omgeo.com or call the Client Contact Center at +1 877 664 3625.

About Omgeo

Omgeo creates certainty in post-trade operations through the automation and timely confirmation of the economic details of trades executed between investment managers and broker dealers. Every day Omgeo enables an efficient community of more than 6000 financial services clients in 45 countries to manage matching and exception handling of trade allocations, confirmations, and settlement instructions. Leading organizations rely on Omgeo to help manage an increasingly complex investment industry by providing operational stability and solutions that complement the focus on profitability in an era of escalating trade volumes. Across borders, asset classes, and trade lifecycles, Omgeo is the global standard for operational efficiency across the investment industry. Formed in 2001, Omgeo is jointly owned by the DTCC and Thomson Reuters.

For more information about Omgeo, please visit www.omgeo.com.

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