



Business Continuity and Disaster Recovery Testing

Business continuity and disaster recovery (DR) testing is a critical underpinning for Omgeo, helping to validate and improve our resiliency arrangements to ensure continued business operations in the event of a disruption. In support of this, Omgeo has developed a robust testing plan for 2012 to support related industry best practices as well as compliance with regulatory commitments.

Omgeo Disaster Recovery Testing

Omgeo is committed to ensuring the highest level of availability and reliability to our clients. Accordingly, we conduct holistic disaster recovery (DR) tests which occur during scheduled downtimes and include client participation.

Omgeo conducts DR testing twice per year during which fail over occurs from the primary production facility to an alternate production facility, including out-of-region recovery for most services. Recovery procedures are tested to ensure full resumption of operations within the recovery time objective (RTO) as outlined in the [Interagency White Paper on Sound Practices](#). Omgeo Central Trade Manager (CTM) and Omgeo TradeSuite have an RTO of 2 hours. Omgeo ProtoColl has an RTO of 3 hours. Other core services have an RTO of 4 hours and include Omgeo ALERT, Omgeo Connect, Omgeo CrossCheck, Omgeo MarketMatch, Omgeo OASYS and Omgeo OASYS Global.

Recent Testing Results

11 DR tests were scheduled and successfully completed in 2011. Successful testing occurred in February, March, May, June, July, October and November.

- Omgeo TradeSuite recovery procedures were successfully tested in February, March, May, June, July and October.
- Omgeo CTM and core services recovery procedures were successfully tested in May and November, exercising our in-region and out-of-region capabilities.

2012 Testing Schedule

14 DR tests are scheduled for 2012 to exercise our capabilities and skills to successfully failover networks, systems and applications to our in-region and out of region production facilities.

- Omgeo TradeSuite recovery procedures are scheduled to be next tested in March.
- Omgeo CTM and core services recovery procedures are scheduled to be next tested in February.

High level results will be posted here. Details, including these scenarios and other testing, dates and times, are available to clients in our secured [Client Center](#).

Industry Test

On October 29, 2011, Omgeo TradeSuite clients successfully participated in the annual SIFMA Industry test, exercising and verifying their ability to test back-up sites and back-up communications capabilities. Please visit the [SIFMA](#) site for more information. The next SIFMA industry test will take place in October 2012.

Business Plan Testing

Business continuity plans have been developed for each area of the organization. Plans are tested throughout the year, at minimum twice annually, through events such as tabletop exercises and alternate site testing to ensure the highest level of preparedness and the level of skill and expertise that is essential in a real life incident.