



Omgeo Central Trade ManagerSM

For Broker/Dealers

ALERT
Benchmarks
Central Trade Manager
Connect
OASYS
OASYS Global
OASYS-TradeMatch
TradeHub
TradeSuite

Utilize the most scalable and innovative technology to increase your competitive advantage

In keeping with Omgeo's on-going vision to provide our clients with a single post-trade transaction processing service, we are introducing Omgeo CTM for broker/dealers. The Omgeo CTM broker/dealer solution provides one common platform where the buy-side and sell-side can interact in both domestic and cross-border markets, streamlining client processes and enhancing operational efficiency.

With this in mind, we see a large shift in the community toward Omgeo's newest, most efficient post-trade processing solution. In fact, *Omgeo CTM volumes have increased 255% over the past two years, far outpacing OASYS Global growth.* In addition, the client base of investment managers on Omgeo CTM has increased to over 480.

Omgeo CTM - Core Strategic Trade Management Service

Omgeo is focused on building new asset classes, markets, and functionality into Omgeo CTM. Providing broker/dealers access to the central matching capabilities of Omgeo CTM enables Omgeo to add new asset classes and markets to Omgeo CTM in the future without requiring updates to Omgeo OASYS GlobalSM.

Client Derived Value

As the Omgeo CTM solution matures and the needs of our diverse client community evolve, we recognize that operational performance is a key element of best execution and buy-side clients are increasingly looking for that extra edge. The below stats need no explanation -

central matching with Omgeo CTM facilitates significantly higher same day affirmation (SDA) rates which further increase your competitive advantage. In fact, trade affirmation has a significant positive impact on cost and risk – unaffirmed trades are 37 times more likely to fail than affirmed trades.

	%SDA	% T+1 Affirms	% T+2 Affirms	% T+3 Affirms
Omgeo CTM	93	97	98	99
OASYS Global	72	92	93	98

Additionally, Omgeo CTM processing rates are 10 times faster than the OASYS Global Bridge, thus reducing latency and enabling scalability.

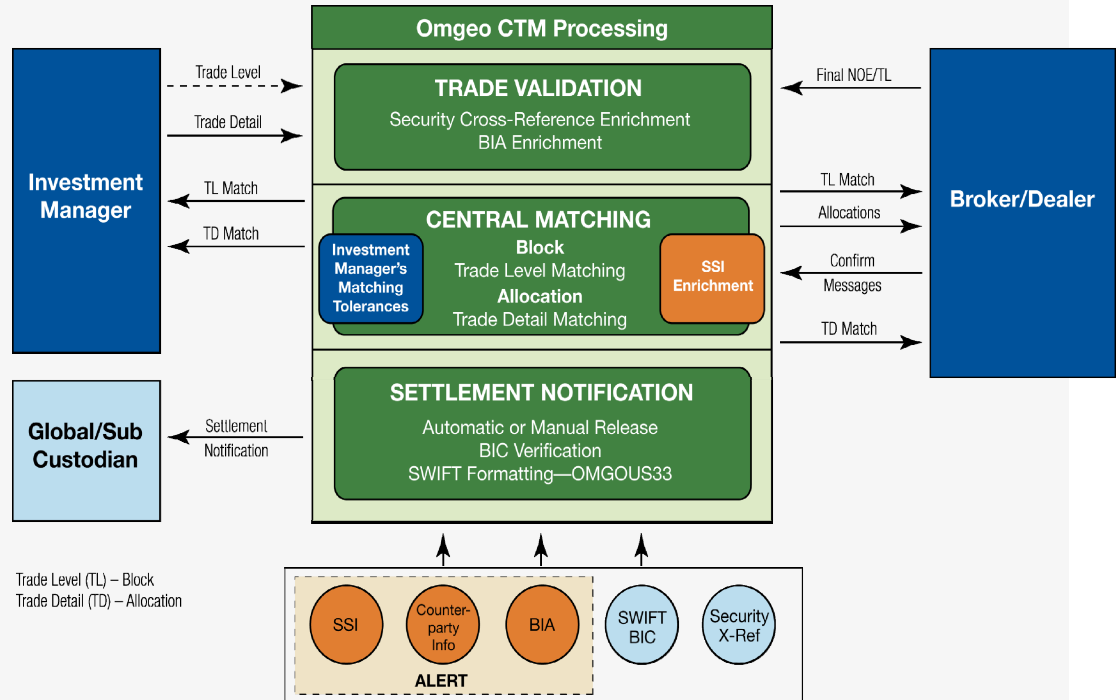
By moving to Omgeo CTM:

- Clients are viewed as leading the field amongst the broker/dealer community to employ the industry's most efficient solution
- Clients benefit from central matching which is proven to facilitate significantly higher SDA rates (Omgeo data reveals a 30% higher SDA rate than local matching)
- Clients are equipped with a flexible and non-sequential workflow allowing both parties to take a more proactive role in post-trade processing. OASYS Global brokers are blind to the matching and exceptions statuses in Omgeo CTM. Once broker/dealers move to Omgeo CTM, they will be able to participate in the resolution of exceptions and will no longer be entirely dependent on the investment manager for exception resolution.

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What does the workflow look like?



Key Features

- Omgeo ALERTSM enrichment available on the contract – not just on the block, and re-enrichment on amends
- Ability to match on cross-currency settlement transactions by providing separate fields for traded currency and traded amount as well as settlement currency and settlement amount on the block as well as the contract
- Amend unilaterally blocks and contracts, without the need for the client to reject first, creating more system flexibility
- View and reject blocks alleged against you and reject mismatched blocks and contracts
- Bilateral cancellation after trade is Match Agreed can be initiated by either party and accepted or rejected by the counterparty
- Manual entry user interface and import/export interface coming soon

Key Benefits

- Lower instances of failed trades and mitigate operational risk through improved SDA
- Enable proactive handling of exceptions due to more efficient workflow
- Reduction in manual effort of amending standing settlement instructions (SSI) on contracts
- Automatic match on cross-currency settlement
- Reduced security risk through enhanced encryption and more current technology
- Buy-side clients are increasingly benchmarking their broker/dealers, Omgeo CTM can help increase operational performance with the non-sequential nature of the workflow
- Latency and scalability improved through faster processing rates and more robust technology
- Improved affirmation rates and response time are competitive advantages
- Access to Omgeo and EuroCCP's joint development of a pan-European equities central counterparty (CCP) service for hedge fund transactions

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Investment Manager Recertification

With the addition of broker/dealers on Omgeo CTM, each Omgeo CTM investment manager must be re-certified to ensure all Omgeo CTM broker functionality is supported. Re-certification is essential to ensuring all clients realize the full potential of Omgeo CTM. The Omgeo CTM Investment Manager community will need to:

- Use new broker/dealer BIC codes and update broker matching groups as necessary
- Support enhanced workflows like unilateral amends, rejections from the broker on unmatched or mismatched trades, and initiating cancels on Match Agreed trades
- Send in new matching fields (e.g. Settlement Amount/Currency) and receive back additional matched values, *if desired*
- Receive broker/dealer SSIs on contracts when applicable

About Omgeo

Omgeo creates certainty in post-trade operations through the automation and timely confirmation of the economic details of trades executed between investment managers and broker dealers. Every day Omgeo enables an efficient community of more than 6000 financial services clients in 45 countries to manage matching and exception handling of trade allocations, confirmations, and settlement instructions. Leading organizations rely on Omgeo to help manage an increasingly complex investment industry by providing operational stability and solutions that complement the focus on profitability in an era of escalating trade volumes. Across borders, asset classes, and trade lifecycles, Omgeo is the global standard for operational efficiency across the investment industry. Formed in 2001, Omgeo is jointly owned by the DTCC and Thomson Reuters.

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