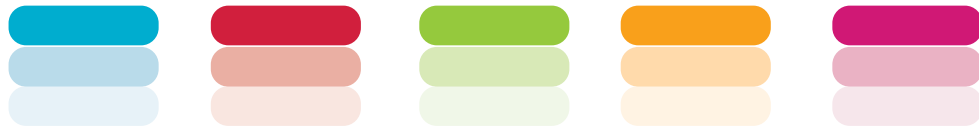


# Omgeo 2009 virtual community event

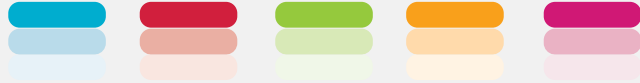


Omgeo's online client and partner conference  
September 28 – October 1, 2009

**login. listen. learn.**

**speakers**

# Omgeo 2009 virtual community event



## speakers

### Marianne C. Brown

President & CEO, Omgeo

Marianne C. Brown is president and chief executive officer of Omgeo LLC. Ms. Brown is an accomplished and visionary chief executive with a proven track record of driving revenue and income growth within complex global organizations.

Ms. Brown leverages her experience in transforming service and technology businesses by accelerating their ability to execute on strategic plans and deliver new products to market. With a key focus on customer satisfaction and operational excellence, she has fostered a business culture that seeks to engage associates to work as a team in creating a world class organization. Ms. Brown also works collaboratively with Omgeo's board of managers, comprised of shareholders and industry participants, to ensure the needs of the financial community guide the firm's strategic business decisions.



Prior to joining Omgeo, Ms. Brown has held positions with Securities Industry Automation Corporation (SIAC) and Automatic Data Processing, Brokerage Services Group, (now known as Broadridge).

Ms. Brown has been profiled extensively in the press, including CNBC's Squawk Box, The Wall Street Journal, Wall Street & Technology, Waters, Operations Management, Securities Industry News, STP Magazine, Global Custodian and A-Team IQ.

#### Session:

**Welcome Keynote** "Industry Trends and Dynamics: How They Impact Financial Services Firms and Omgeo"

- Monday Sept. 28, 9:00am EST, Duration: 60 minutes
- Monday Sept. 28, 8:00pm EST, Duration: 60 minutes

Please note: Sessions and presenters are subject to change.

### Alexandra Berndt

Director of EMEA Relationship Management, Omgeo

Alexandra Berndt, Director of EMEA Relationship Management, joined Omgeo in 2007. Ms. Berndt is responsible for managing and leading the EMEA Relationship Management team in their efforts to promote the adoption of Omgeo products and drive client satisfaction. Ms. Berndt and her team work closely with clients to increase their affirmation rates and reduce risk, while ensuring that community members receive the maximum value from their investment in Omgeo services.



Prior to Omgeo, Ms. Berndt ran the Relationship Management team at Euronext / SecFinex for 3 years. She also worked at Citigroup for 9 years.

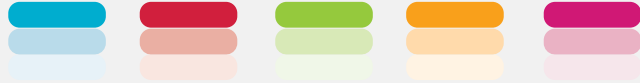
#### Session:

**Client Testimonial** "The Value of Omgeo CTM"

- Thursday, Oct. 1, 9:00am EST, Duration: 60 minutes



# Omgeo 2009 virtual community event



## speakers

### Michael Boland

Founder & CEO, Dome Advisors LLC

Michael Boland is founder and CEO of Dome Advisors LLC, a firm dedicated to providing institutional investors with superior analysis of the policy and political environment affecting public companies, industries and sectors.

In his previous career as a lobbyist over 21 years, he led thousands of successful campaigns for corporate leaders in the financial services, energy, telecom, defense, and technology industries.

Mr. Boland began his career on Capitol Hill in 1978 as counsel to the House Energy and Commerce Committee. From 1984 to 1987, he was chief counsel to one of the elected leaders of the House of Representatives.

Mr. Boland graduated from Gonzaga University School of Law and from the University of Notre Dame.

#### Session:

**Panel Discussion** "A New Regulatory Landscape: How Reform Will Impact Your Business"

- Tuesday, Sept. 29, 9:00am EST, Duration: 60 minutes



### Mark Bouchea

Director of Product Management, Omgeo

Mark Bouchea, Director of Product Management for Omgeo ALERT<sup>SM</sup>, joined Omgeo in 2003. Mr. Bouchea is responsible for the Omgeo ALERT application. His group is responsible for developing the global product roadmap for Omgeo ALERT which supports regional and global industry needs. In this capacity, Mr. Bouchea has responsibility for the collection and definition of market requirements and the prioritization of key initiatives on the Omgeo ALERT product roadmap. Further, he also oversees key operational activities which support the product plan, such as the Omgeo ALERT Quality Data Initiative. Prior to Omgeo, Mr. Bouchea held positions with Thomson Financial, Fidelity Management & Research and CCBN.

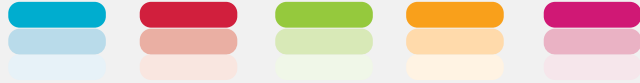


#### Session:

**"Omgeo ALERT 18 Month Outlook"**

- Tuesday Sept. 29, 10:30am EST, Duration: 60 minutes
- Wednesday Sept. 30, EST, 8:00pm EST, Duration: 60 minutes

# Omgeo 2009 virtual community event



## speakers

### Kevin Caulfield

Director of Product Management, Omgeo

Kevin Caulfield leads the US and Cross-Border Product Management team at Omgeo. Mr. Caulfield and his team ensure industry and client feedback and requirements are identified and realized in the product set, including OASYS<sup>SM</sup>, OASYS-TradeMatch<sup>SM</sup>, TradeSuite<sup>SM</sup>, OASYS Global<sup>SM</sup>, MarketMatch<sup>SM</sup> and Omgeo CTM<sup>SM</sup>.

Mr. Caulfield is currently a member of the SIFMA Prime Brokerage subcommittee and continues to closely partner with Omgeo's Industry Relations and General Counsel on regulatory (SEC, MSRB) and industry related issues (SIFMA Operations Advisory Board, Asset Managers Forum, SIFMA Money Markets Committee and DTCC Operations Advisory Committee). Prior to joining Omgeo, Mr. Caulfield worked at Thomson Financial and State Street Bank.



#### Sessions:

*"Planning with Omgeo: Our Product Roadmap for Broker/Dealers"*

- Monday Sept. 28, 10:30am EST, Duration: 30 minutes
- Monday Sept. 28, 9:30pm EST, Duration: 30 minutes

*"Omgeo Central Trade Manager (Omgeo CTM) 18 Month Outlook"*

- Tuesday Sept. 29, 9:30pm EST, Duration: 60 minutes
- Wednesday Sept. 30, 10:30am EST, Duration: 60 minutes

### David Cutright

Managing Director, Technology, Omgeo

David Cutright, Managing Director of Technology, joined Omgeo in 2001. Mr. Cutright is responsible for setting Omgeo's overall technology strategy and under his leadership, his group is responsible for developing and implementing the global architecture and systems to support Omgeo's large-scale trade management services. In this capacity, Mr. Cutright oversees the Product Development, Testing/Release Services, Operations, and Architecture/Planning functions. He is also a member of the executive team where he helps shape and execute the company's strategy.



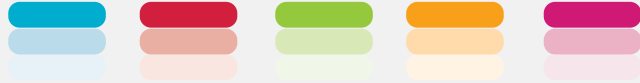
Prior to Omgeo, Mr. Cutright held positions with CCBN, Thomson Financial, Transfer Technologies and McGraw-Hill-DRI.

#### Session:

*"Spotlight: Reliability & Usability: A Closer Look at Omgeo's Technology Strategy"*

- Thursday Oct. 1, 10:30am EST, Duration: 60 minutes
- Thursday Oct. 1, 8:00pm EST, Duration: 60 minutes

# Omgeo 2009 virtual community event



## speakers

### Lee Cutrone

Managing Director, Industry Relations, Omgeo

Lee Cutrone is Managing Director, Industry Relations at Omgeo. He acts as a liaison between Omgeo and various industry players including clients, global advisory boards and working parties, regulators, depositories and industry groups. In this capacity, Mr. Cutrone ensures that clients and other industry participants have a direct point of contact for interacting with Omgeo on a strategic business level. Additionally, he is in charge of leading global communications to clients, prospects and partners, and overseeing research into new markets. As a member of the executive team, Mr. Cutrone also helps to shape and execute the company's strategy.



Prior to Omgeo, Mr. Cutrone held positions with Thomson Financial ESG, the American Stock Exchange, Stone & Webster Securities Corporation and Merrill Lynch, Pierce, Fenner & Smith.

#### Session:

**Panel** "A New Regulatory Landscape: How Reform Will Impact Your Business"

- Tuesday Sept. 29, 9:00am EST, Duration: 60 minutes

### James E. Drumm

Executive Director, Asia, Omgeo

James Drumm currently serves as Executive Director, Asia, for Omgeo. Mr. Drumm is responsible for managing Omgeo's established Asia Pacific business, with a focus on Sales & Relationship Management.

Mr. Drumm joined Omgeo at its inception in 2001 with roles concentrating on General Management, Sales and Relationship management. He has been based in Singapore, Sydney and Boston during this time.

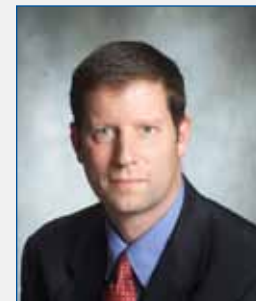
Mr. Drumm came to Omgeo with over 15 years experience at Thomson Financial.

Mr. Drumm received a BSc in Business Administration from Fordham University, New York and Certificate in Advanced Management Program at INSEAD, Fountainebleau, France. He also completed studies at The Ashridge School of Management in Hertfordshire, England.

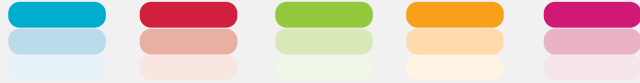
#### Session:

**Client Testimonial** "The Value of Omgeo CTM"

- Wednesday Sept. 30, 9:30pm EST, Duration: 60 minutes



# Omgeo 2009 virtual community event



## speakers

### Michael Fegan

Senior Vice President of Technology, Omgeo

Michael Fegan, Senior Vice President of Technology, joined Omgeo in 2003. Mr. Fegan is the Technology Department Head for the Transaction Services Business lines. His group is responsible for development, production support and quality assurance testing for the Omgeo CTM, TradeSuite, OASYS, OASYS Global, Connect, and CrossCheck services.



Mr. Fegan has been a financial services technology and product executive for over 20 years and has held senior positions with Andersen Consulting, Citigroup and JPMorganChase prior to joining Omgeo.

#### Session:

*“Spotlight: Reliability & Usability: A Closer Look at Omgeo’s Technology Strategy”*

- Thursday Oct. 1, 10:30am EST, Duration: 60 minutes
- Thursday Oct. 1, 8:00pm EST, Duration: 60 minutes

### Patrice Ficocello

Instructional Design Manager, Omgeo

Patrice Ficociello, Ph.D., is the Instructional Design Manager in the Omgeo client training group that provides product training to new and existing clients. Ms. Ficocello joined Omgeo in 2002 and was part of the Global Product Training Group and the Organizational Development and Communications team before joining Client Operations. She is currently developing and delivering training to support Omgeo’s Knowledge Management project. Ms. Ficociello has designed and delivered training in the financial services industry for over 20 years.

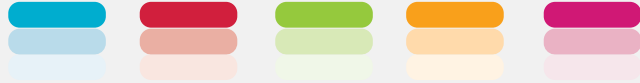


#### Session:

*“Spotlight: Omgeo’s Commitment to World Class Support Capabilities”*

- Thursday Oct. 1, 9:00am EST, Duration: 60 minutes
- Thursday Oct. 1, 9:30pm EST, Duration: 60 minutes

# Omgeo 2009 virtual community event



## speakers

### Tony Freeman

Executive Director of Industry and Client Relations, Omgeo

Tony Freeman has more than 20 years experience of working in the City of London. He joined Omgeo in April 2004 from JPMorgan Investor Services, where he worked for six years. At JPMorgan, Mr. Freeman was, initially, a senior relationship manager in the Financial Institutions Group and later worked as a product manager in outsourcing and middle-office processing.



Prior to JPMorgan, Mr. Freeman was with ISMA, the regulatory body and trade association for the Eurobond market, building the account management and sales function of the association. Before ISMA, he worked for Robert Fleming Asset Management, Legal & General Investment Management and CIN Management in a variety of systems development and operational roles.

Mr. Freeman is a member of the ISITC Europe Executive Committee, is a frequent public speaker and has contributed to numerous financial journals.

#### Sessions:

**Panel "A New Regulatory Landscape: How Reform Will Impact Your Business"**

- Tuesday Sept. 29, 9:00am EST, Duration: 60 minutes

**Panel "The On-Going Securities Industry Debate: How to Automate Your Manual Counterparties"**

- Wednesday Sept. 30, 9:00am EST, Duration: 60 minutes

### Mike Heraghty

Product Manager, Omgeo

Michael Heraghty is a Product Manager for the Omgeo Connect® product line. Within this role, he leads collaborative initiatives both inside and outside the firm and is involved in all aspects of the Connect product lifecycle from strategic planning through delivery and support.

For more than 15 years, Mr. Heraghty has been involved with financial institutions in the areas of International and Domestic Securities Processing and Operations. He has specialized in developing technology solutions for areas such as broker/dealer & correspondent clearing, buy/sell side for global custody and U.S. fixed income clearance & settlement, institutional corporate actions and global tax reclamation and institutional asset/cash reconciliation.



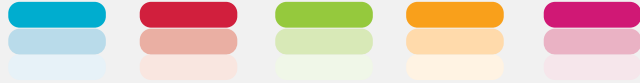
Mr. Heraghty previously worked for Brown Brothers Harriman, Morgan Stanley, JP Morgan, Citibank, Dean Witter and SunGard.

#### Session:

**"Omgeo Connect 18 Month Outlook"**

- Thursday Oct. 1, 11:30am EST, Duration: 30 minutes

# Omgeo 2009 virtual community event



## speakers

### Armando Hernandez

Director, Omgeo ProtoColl, Omgeo

Armando Hernandez leads the North American sales support team for Omgeo's collateral solution, Omgeo Protocol<sup>SM</sup>. He has over 13 years business experience and has held senior roles within Derivatives Operations.

Mr. Hernandez brings a seasoned business perspective and broad industry background having worked at a major U.S. Bank as Head of Collateral Management, as well as with software providers.



He has spoken at several industry conferences over the course of his career, including ISDA, SIFMA and the FTF. Mr. Hernandez also moderated a discussion on derivative portfolio reconciliations to examiners of the New York Fed.

#### Session:

*"Spotlight: Omgeo ProtoColl for Complete Collateral Management"*

- Tuesday Sept. 29, 8:00pm EST, Duration: 60 minutes

### Scott Hillyer

Managing Director, Client Operations, Omgeo

Scott Hillyer is managing director of Omgeo's client operations group. Within this role, Mr. Hillyer is responsible for managing Omgeo's global client operations teams, including the integration of Omgeo's services within clients' existing operational infrastructures. The group focuses on delivering reliable and responsive client service via training, help desk support, product installations and setup, bringing clients live on its services in a timely, efficient and flexible manner. In this role, Mr. Hillyer focuses on driving client satisfaction and further enhancing the overall client experience. A member of the executive team, Mr. Hillyer also contributes to shaping and executing Omgeo's business strategy.



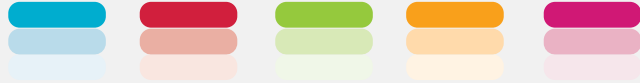
Prior to joining Omgeo, Mr. Hillyer held positions at Eagle Investment Systems LLC, Fidelity Investments and State Street Bank and Trust.

#### Session:

*"Spotlight: Omgeo's Commitment to World Class Support Capabilities"*

- Thursday Oct. 1, 9:00am EST, Duration: 60 minutes
- Thursday Oct. 1, 9:30pm EST, Duration: 60 minutes

# Omgeo 2009 virtual community event



## speakers

### Shaun Howe

Product Manager, Omgeo

Shaun Howe joined Omgeo in 2005 as a member of the Client Operations team. In that capacity, he supported all product lines, gaining invaluable knowledge which he brought to the Product Management function. In his current role, Mr. Howe contributes to the ongoing success of Omgeo OASYS through strategy, product line plan development and product support.

Prior to joining Omgeo, Mr. Howe was with Thomson Reuters (formerly Thomson Financial) where he supported the Thomson One product suite.



#### Session:

*"Omgeo OASYS 18 Month Outlook"*

- Monday Sept. 28, 1:30pm EST, Duration: 30 minutes

### Taras Huzar

Outsourcing Product Specialist, EMEA,  
BNY Mellon Asset Servicing

Taras is a product specialist responsible for the development and delivery of solutions for the needs of BNY Mellon Asset Servicing's existing and potential Investment Management clients in Europe, the Middle East, and Africa. Taras has 15 years of Investment Management industry experience and joined The Bank of New York Mellon in January 2002.



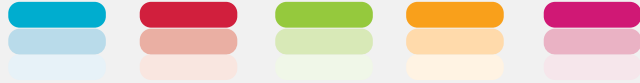
Prior to that, he worked for seven years at Baring Asset Management (BAM) where he was an Assistant Director, managing the front-office Business Consultancy Team. Before that, Taras worked for five years as a Project Manager in BT Group PLC's Institutional Data Services Division.

#### Session:

**Panel** *"The On-Going Securities Industry Debate: How to Automate Your Manual Counterparties"*

- Wednesday Sept. 30, 9:00am EST, Duration: 60 minutes

# Omgeo 2009 virtual community event



## speakers

### Doris Jurisson

Executive Director of the Client Contact Center, Omgeo

Doris Jurisson, Executive Director of the Client Contact Center, joined Omgeo in 2008 and is responsible for Omgeo's global support organization. Ms. Jurisson brings over twenty years of high-tech experience focused on driving operational excellence in technical support and customer service. During her tenure at Omgeo, she has been focused on improving the client experience through investing in knowledge management and staff development.



Prior to Omgeo, Ms. Jurisson was the Director of Global Customer Service and Maintenance at Progress Software Corporation. She holds a BA in Mathematics from St. Olaf College.

#### Session:

*"Spotlight: Omgeo's Commitment to World Class Support Capabilities"*

- Thursday Oct. 1, 9:00am EST, Duration: 60 minutes
- Thursday Oct. 1, 9:30pm EST, Duration: 60 minutes

### Jason Karle

Manager, Global Alliances, Omgeo

Jason Karle is Manager of Global Alliances at Omgeo. In this role, he is responsible for relationship management and partner communications within the Omgeo Partner Program. Developing and maintaining this network of strategic alliances enables efficient market adoption of Omgeo's offerings, ensuring optimal business performance for buy and sell-side clients' trading infrastructure investments.



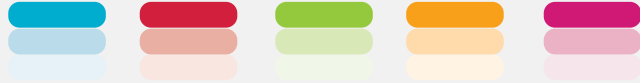
Prior to Omgeo, Mr. Karle held various business development and sales management positions at Wealth2K, a financial services technology consulting firm, and Electronics for Imaging, Inc. Mr. Karle holds a degree in Computer Engineering Technology from Northeastern University, Boston.

#### Session:

*"Omgeo Partner Program: Business Update and Product Roadmap Impact on Partners"*

- Thursday Oct. 1, 10:30am EST, Duration: 60 minutes

# Omgeo 2009 virtual community event



## speakers

### Simon Lillystone

Director, Business Development,  
Collateral and Margin Management, Omgeo

Simon Lillystone is a director of business development at Omgeo. His remit includes product strategy and collaborative initiatives both inside and outside the firm to raise awareness of collateral and margin management.

For more than 17 years, Mr. Lillystone has been involved with financial institutions, specializing in developing technology solutions for areas such as retail banking, bond trading, treasury and credit risk management. For the last eleven years, he has focused on designing collateral and margin management solutions, and his solutions can be found today on a global basis in investment banks, asset managers and investment companies.



Mr. Lillystone previously worked for Hambros Bank, JP Morgan, Deutsche Bank and SunGard.

#### Session:

**“Spotlight: Omgeo ProtoColl for Complete Collateral Management”**

- Tuesday Sept. 29, 10:30am EST, Duration: 60 minutes

### Tim Lind

Managing Director, Strategic Planning, Omgeo

Tim Lind joined Omgeo as Managing Director, Strategic Planning in 2007. He is responsible for applying his industry expertise to achieve Omgeo’s strategic objectives that drive the continued growth of the firm. Mr. Lind focuses on leveraging internal and external partnerships to provide business strategy leadership and guidance for executive decisions and ensure that all business decisions are supported by sound operational, market and financial analysis. Additionally, he leads Omgeo’s marketing function and the firm’s global communications to clients, prospects and partners, and overseeing research into new markets. He is also a member of Omgeo’s executive team.



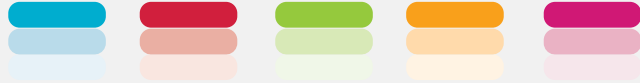
Prior to joining Omgeo, Mr. Lind held positions at GoldenSource Corporation, TowerGroup, SWIFT, Brown Brothers Harriman and Dean Witter Reynolds. During his nearly 20-year career, Mr. Lind has spent time around the world, working in both the US and Brussels, Belgium.

#### Session:

**Welcome Keynote “Industry Trends and Dynamics: How They Impact Financial Services Firms and Omgeo”**

- Monday Sept. 28, 9:00am EST, Duration: 60 minutes
- Monday Sept. 28, 8:00pm EST, Duration: 60 minutes

# Omgeo 2009 virtual community event



## speakers

### Brian Lynn

Founder and Chief Technology Officer,  
Global Electronic Markets LLC

Brian Lynn is Chief Technology Officer of Global Electronic Markets LLC. GEM provides advisory services to firms seeking to leverage FpML and related standards, and supports a set of products focused on enabling the creation, matching, transformation, and storage of FpML and related languages.

Until 2002, Mr. Lynn was a vice president in e-Trading systems at JPMorgan. He played a key role in establishing FpML.org and was co-chair of the FpML Standards Committee from 1999 until November 2002.



Prior to joining JPMorgan, Mr. Lynn was a managing director at CIBC World Markets. While there, his responsibilities included fixed income derivatives quantitative research and development, developing a commodity derivatives trading system, spreadsheet analytics, front and middle-office risk and P/L reporting applications, a global risk data consolidation system, a global credit exposure calculation system, and various operational reporting applications.

#### Sessions:

*“Spotlight: Omgeo CrossCheck<sup>SM</sup> for Buy-Side to Sell-Side Portfolio Reconciliation”*

- Tuesday Sept. 29, 9:30pm EST, Duration: 60 minutes
- Thursday Oct. 1, 9:00am EST, Duration: 60 minutes

### Kim Matthews

Executive Director, Product Management, Omgeo

Kim Matthews joined Omgeo in July 2008 as Executive Director, Product Management, bringing with her over 15 years of experience in the securities industry. In this role, Ms. Matthews works closely with Omgeo’s Market Growth and Sales teams to analyze markets and determine requirements for new products, as well as upgrades to existing products. She also focuses on continuous improvement across all aspects of product delivery and ensures the adoption of consistent, global best practices. Prior to joining Omgeo, Ms. Matthews held several positions in the industry, including Director of Program Management at Fidelity Investments and, most recently, Vice President/Global Head of Operations Analysis at State Street Global Advisors.

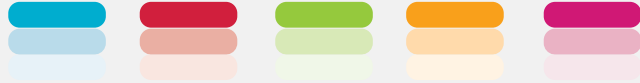


#### Session:

*“Planning with Omgeo: Our Product Roadmap for Investment Managers”*

- Monday Sept. 28, 10:30am EST, Duration: 30 minutes
- Monday Sept. 28, 9:30pm EST, Duration: 30 minutes

# Omgeo 2009 virtual community event



## speakers

### Steven Matthews

Managing Director, Product, Omgeo

As Managing Director, Product, Steve Matthews directs Omgeo's product strategy, drives solution delivery and leads all business expansion initiatives for Omgeo. With commercial responsibility, Mr. Matthews is accountable for strategically aligning new initiatives, gauging their commercial viability, as well as prioritizing and managing all solution-related investments within Omgeo. As a member of Omgeo's executive team, he is also heavily involved in the company's overall strategy and direction.



Prior to joining Omgeo, Mr. Matthews was chief operating officer of a United Kingdom-based financial markets start-up business with responsibilities including operational infrastructure development, offshore technology delivery and venture capital fund raising. Previously, he worked for EBS and Price Waterhouse.

Mr. Matthews holds a Bachelor of Science degree from Exeter University in the UK and is a UK-qualified chartered accountant.

#### Session:

**Welcome Keynote** *"Industry Trends and Dynamics: How They Impact Financial Services Firms and Omgeo"*

- Monday Sept. 28, 9:00am EST, Duration: 60 minutes
- Monday Sept. 28, 8:00pm EST, Duration: 60 minutes

### Bianca McElrue

Senior Client Training Consultant, Omgeo

Bianca McElrue, Senior Client Training Consultant, joined Omgeo in 2001. As a member of the EMEA Client Training team for the past 7 years, Ms. McElrue provides training across all Omgeo services to both new and existing clients to ensure that they can fully optimize the value of their post-trade solutions. Prior to joining the training team, Ms. McElrue was part of the Omgeo Client Contact Center. She has a BA Hons Degree in Modern European Languages from the University of Liverpool and a Post-Graduate Diploma in European Business Administration.

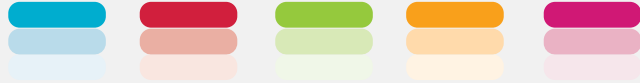


#### Session:

**"An Overview: Omgeo's Suite of Products and Services"**

- Tuesday Sept. 29, 9:00am EST, Duration: 60 minutes

# Omgeo 2009 virtual community event



## speakers

### Paul Mills

Director, Equities - Prime Services  
Credit Suisse Securities (Europe) Limited London

Paul Mills is a Director of Credit Suisse in the Prime Services Department. He has a total of 22 years experience in the financial services industry. Paul currently manages the Prime Services Transition team on a global basis. The team is responsible for bringing all clients onto the Credit Suisse Prime Brokerage platform.



Paul joined Credit Suisse 16 years ago from Goldman Sachs. He has performed a number of roles from Operations, Trading to Prime Brokerage. Paul has worked with the top Managed Account Platform providers for six years and has built up the Credit Suisse offering for supporting managed accounts. Paul sits on several committee groups globally.

#### Session:

**Panel** *"The On-Going Securities Industry Debate: How to Automate Your Manual Counterparties"*

- Wednesday Sept. 30, 9:00am EST, Duration: 60 minutes

### Chris Nunn

Director, Citigroup, Global Transaction Services

Chris Nunn is a Director of Citigroup, Global Transaction Services. He has a total of 23 years experience in the financial services industry gained within the Private Banking, Asset Management and outsourcing businesses. Chris is currently a Product Manager responsible for the Middle Office Trade Operations Services on a global basis.

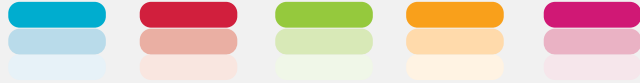
Chris joined Citigroup 20 years ago and has performed a number of roles within the Private Banking, Asset Management and outsourcing businesses. Chris has worked on Citigroup's outsourcing products for four years and has built up the Citigroup Middle Office Trade Operations service. Chris sits on the OMGEO Advisory board and Bank Steering Group.

#### Session:

**Panel** *"The On-Going Securities Industry Debate: How to Automate Your Manual Counterparties"*

- Wednesday Sept. 30, 9:00am EST, Duration: 60 minutes

## Omgeo 2009 virtual community event



## speakers

### Lou Piezzo

Product Manager, Omgeo

Lou Piezzo, Regional TradeSuite Product Manager, is responsible for educating and promoting Omgeo TradeSuite to users and the community at large. In addition, he works with the Omgeo community and SIFMA to oversee the effort to improve the industry affirmation rate. Mr. Piezzo has over 18 years of experience in the financial services industry that has included roles in product management, global brokerage operations, business analysis, and project management. Prior to joining Omgeo, Mr. Piezzo held positions with DTCC and Instinet Corporation.



#### Session:

***“Omgeo TradeSuite and Omgeo inSITE 18 Month Outlook”***

- Monday Sept. 28, 3:00pm EST, Duration: 60 minutes

### Ingo Purwien

Managing Director, Quoniam Asset Management

Ingo Purwien, Managing Director at Quoniam Asset Management, joined Quoniam (former Union Panagora) in 1999 and is responsible for Investment Operations including Middle Office and Risk Controlling. Prior to joining the company he worked in the back office of Dresdner Bank Investment Group for 10 years, one of his positions being head of funds accounting group. In addition he set up the group's compliance office where he was in charge of monitoring fund investment guidelines. He also played a leading role in developing a new back office software platform for the Dresdner Bank Investment Group. Ingo Purwien started his career at DIT, now part of Allianz Global Investors, where he worked in funds accounting and investment controlling.

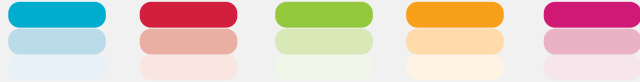


#### Session:

**Client Testimonial *“The Value of Omgeo CTM”***

- Thursday Oct. 1, 9:00am EST, Duration: 60 minutes

# Omgeo 2009 virtual community event



## speakers

### Mike Roch

Product Manager, Omgeo

Mike Roch, Product Manager for Omgeo MarketMatch and Transaction Report, joined Omgeo in February, 2008, bringing with him a wealth of knowledge from his work in the broker community. Prior to joining Omgeo, Mr. Roch held positions at Morgan Stanley, UBS, Deutsche Bank and, most recently, ABN Amro where he was the European head of Equity Trade Support.



#### Session:

*"Omgeo MarketMatch and Omgeo Transaction Report  
18 Month Outlook"*

- Wednesday Sept. 30, 10:30am EST, Duration: 30 minutes

### Matthew Russo

Senior Client Training Consultant, Omgeo

Matthew Russo, Senior Client Training Consultant, joined Omgeo in 2007. Mr. Russo is responsible for training Omgeo's community on all product offerings. Since joining Omgeo he has helped develop and increase the number of free monthly training sessions for existing and perspective clients. Currently, Mr. Russo is assisting in the design and launch of a new version of TradeSuite.



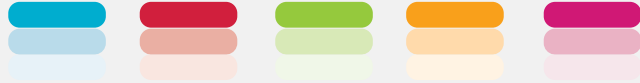
Prior to Omgeo, Mr. Russo held multiple positions with Investors Bank & Trust.

#### Session:

*"Spotlight: Omgeo's Suite of Products  
and Services"*

- Tuesday Sept. 29, 8:00pm EST, Duration: 60 minutes

# Omgeo 2009 virtual community event



## speakers

### Gordie Sands

Executive Director, Architecture, Omgeo

Gordie Sands, Executive Director of Architecture, joined Omgeo in 1999. Mr. Sands heads up the Architecture organization within Technology. He is responsible for directing Omgeo's technical architecture practice, developing the technology strategy, and shaping the technical scope and direction of major projects and new initiatives. In addition, Mr. Sands works closely with Omgeo's Compliance and Legal teams to manage the Technology relationship with industry regulators.



Prior to Omgeo, Mr. Sands has held technology leadership roles with companies in a variety of industries, including Wyeth Pharmaceuticals, Information Mapping, the Museum of Fine Arts, Boston, and Reebok.

#### Session:

*"Spotlight: Reliability & Usability: A Closer Look at Omgeo's Technology Strategy"*

- Thursday Oct. 1, 10:30am EST, Duration: 60 minutes
- Thursday Oct. 1, 8:00pm EST, Duration: 60 minutes

### Paul Skuriat

Product Manager, Omgeo

Paul Skuriat has been with Omgeo since the company's inception in 2001.

He has held several product and business manager positions within Omgeo, working on both OASYS and OASYS Global worldwide. For the past eight years, Mr. Skuriat has been involved with Omgeo Benchmarks<sup>SM</sup>, taking it from conception through delivery and has been granted US and international patents on his design work. In his current role, Mr. Skuriat provides in-depth operational analysis to the brokerage and investment industry in relation to STP and the usage of performance data to provide strategic differentiation.



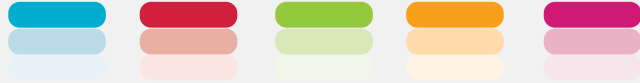
Mr. Skuriat has over 25 years of experience in the financial services industry, including positions with Reuters, the Canadian Depository for Securities, and Canada System Group. He received a Bachelor's degree in Computing and Information Science from the University of Guelph in Canada after a career as an officer in the Canadian Armed Forces.

#### Session:

*"Omgeo Benchmarks 18 Month Outlook"*

- Tuesday Sept. 29, 9:00am EST, Duration: 30 minutes
- Wednesday, Sept. 30, 8:00pm, EST, Duration: 30 minutes

# Omgeo 2009 virtual community event



## speakers

### Randy Snook

Executive Vice President, SIFMA

Randy Snook is an Executive Vice President for the Securities Industry and Financial Markets Association, or SIFMA. Mr. Snook joined the Association in July of 2005. He is responsible for overseeing the Association's three business groups (Capital Markets, Private Client and Asset Management) as well as technology and operations.



Prior to joining the Association, Mr. Snook held several senior positions at Goldman Sachs, including co-head of the Credit Capital Markets New Issue Desk, co-head of the Corporate Bond Business Unit and head of the Investment Grade Syndicate. Mr. Snook became a partner at Goldman in 2000. Before joining Goldman Sachs, he was an Associate at Drexel Burnham Lambert and an Assistant Treasurer at Bankers Trust.

Mr. Snook holds a BS in civil engineering and an MBA degree from Rensselaer Polytechnic Institute.

#### Session:

**Panel "A New Regulatory Landscape: How Reform Will Impact Your Business"**

- Tuesday Sept. 29, 9:00am EST, Duration: 60 minutes

### James Tan

Head of Operations, Lion Capital Management

James Tan, Senior Director, joined Lion Capital Management Limited ("Lion Capital") in April 2007 and he heads up the Operations, Finance and Information Technology Departments.



Prior to joining Lion Capital, he was a Director in Credit Suisse heading up its Asia Cash Securities Operations. He also spent six years in Morgan Stanley Investment Management, the asset management arm of Morgan Stanley, as an Executive Director heading up its Asia Pacific Operations. In total James has 17 years in Operations and Information Technology.

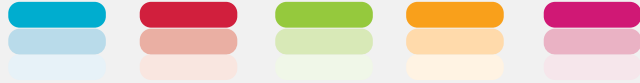
James graduated from the National University of Singapore in 1989 with a Bachelor of Accountancy (Honours).

#### Sessions:

**Client Testimonial "The Value of Omgeo CTM"**

- Wednesday Sept. 30, 9:30pm EST, Duration: 60 minutes

# Omgeo 2009 virtual community event



## speakers

### Greg Warren

Product Manager, Omgeo

Greg Warren was recently appointed to the position of Product Manager for Omgeo CrossCheck and is responsible for CrossCheck's product strategy, execution, pricing, product planning and product positioning. He joined Omgeo in 2006 and has been involved in various derivatives and FX product initiatives. Mr. Warren has over 15 years of experience within the financial services industry where he was involved in servicing broad asset class transactions within the institutional and broker/dealer marketplace.



Prior to joining Omgeo, Greg successfully managed several go-to-market product strategies while at SunGard Securities Processing, delivering several initiatives from concept to market launch and revenue realization. Greg also held a number of positions at State Street Bank.

#### Sessions:

***"Spotlight: Omgeo CrossCheck for Buy-Side to Sell-Side Portfolio Reconciliation"***

- Tuesday Sept. 29, 9:30pm EST, Duration: 60 minutes
- Thursday Oct. 1, 9:00am EST, Duration: 60 minutes

### Mike Wyne

Partner and Head of Operations,  
Vastardis Capital Services

Mr. Wyne has nearly 26 years of experience in investment management operations. Mr. Wyne joined Vastardis Capital in May 2008 from Fischer Francis Trees & Watts where he most recently served as the head of operations, infrastructure and IT development. During his 21-year tenure at FFTW, Mr. Wyne also held positions in client service and portfolio management. From 1989 to 2008 he served as an officer of FFTW Funds, Inc., a U.S. registered mutual fund.

Prior to FFTW, Mr. Wyne worked for Arthur Andersen and Degnan & Gillespie Fixed Income Management. Mr. Wyne holds a B.A. in Accounting from Notre Dame University, Magna Cum Laude, and an M.B.A. in Finance from New York University, Magna Cum Laude. Mr. Wyne also has participated in and chaired several industry committees, such as the Asset Managers Forum.

#### Sessions:

***Panel "The On-Going Securities Industry Debate: How to Automate Your Manual Counterparties"***

- Wednesday Sept. 30, 9:00am EST, Duration: 60 minutes