



# Omgeo CTM Third Party Notification User Interface

## Sender Party FAQ

### ALERT

Benchmarks

Central Trade Manager

Connect

OASYS

OASYS Global

OASYS-TradeMatch

TradeHub

TradeSuite

### What is the Omgeo CTM Third Party Notification User Interface?

Omgeo CTM Third Party Notification functionality has been enhanced with a view-only user interface that allows investment managers (senders) to provide trade copies to their third parties (receivers). An example of a third party is a fund accountant or a client whose assets you manage. Third parties can log into this web-based interface to view copies of Match Agreed and Cancel Match Agreed trades. This functionality replaces the OASYS Global CFI workstation which is being phased out.

### Are existing OG CFI third parties required to implement the Third Party Notification User Interface?

Yes, Omgeo is targeting March 31, 2010, as the date by which third parties should complete their migration efforts to the new Third Party Notification User Interface solution.

### What am I required to do?

As a sender, this effort should not impact your current process. An Omgeo Integration Consultant will be contacting you in the near future to set up an Event Notification Profile that will determine which trades will be visible to your third parties once they have migrated to the Third Party Notification User Interface solution.

### When will trade copies be made available to third parties?

Trade copies will be available in the Third Party Notification User Interface as soon as a trade reaches the Match Agreed or Cancel Match Agreed status in Omgeo CTM.

### Can I control which of my third parties view specific trades?

Yes, investment managers have the ability to create customized Event Notification Profiles for each third party recipient based on the following trade attributes:

- Account or Fund ID
- ALERT Country Code
- ALERT Clearing Method
- Asset Class (CTM Security Type)
- Executing Broker
- Instructing Party
- Settlement Currency
- Traded Currency

For example, if you wish to send fixed income trades to one third party and equities to another, this can be managed through the Event Notification Profile. Alternatively, all trades can be made available to all of your third parties. Trade copies will only be made available to the third parties once the trade reaches Match Agreed or Cancel Match Agreed status in Omgeo CTM. Please note that Omgeo will only provide trade copies to third parties agreed to by the investment manager.

### Will the third party viewing a trade copy have the ability to amend trade details?

No, the Third Party Notification User Interface provides view-only access to trade details which can be printed or exported.

All together now.



[www.omgeo.com](http://www.omgeo.com)

**Americas**  
Omgeo LLC  
22 Thomson Place  
Boston, MA 02210  
tel +1 866 49 OMGEO  
[askomgeoamerica@omgeo.com](mailto:askomgeoamerica@omgeo.com)

**Europe**  
Omgeo Ltd  
Aldgate House  
33 Aldgate High Street  
London  
EC3N 1DL  
tel +44 20 7369 7777  
[askomgeoeuropa@omgeo.com](mailto:askomgeoeuropa@omgeo.com)

**Asia**  
Omgeo PLC Ltd  
80 Robinson Road  
#15-00  
Singapore 068898  
tel +65 6411 6888  
[askomgeoasia@omgeo.com](mailto:askomgeoasia@omgeo.com)

**Japan**  
Omgeo K.K.  
Palaceside Building  
1-1-1, Hitotsubashi, Chiyoda-ku  
Tokyo, 100-0003  
tel + 813 5218 6621  
[askomgeojapan@omgeo.com](mailto:askomgeojapan@omgeo.com)

### **If I make any updates to a trade will my third parties see these amendments?**

Once a trade copy is made available to a Third Party Notification user, any material changes to the trade detail will require that the investment manager and the broker agree to cancel the trade and rebook.

### **Can I send trade copies in a different format?**

Omgeo's Third Party Notification functionality also provides the ability for an Omgeo CTM investment manager to send copies of trades to third parties via SWIFT or CSV format via FTP. If you would like to send copies in one of these alternate formats, please contact your Relationship Manager or the Client Contact Center.

### **Are there any asset class restrictions or limitations?**

No, investment managers can send copies of trades for any of the asset classes supported by Omgeo CTM including equity, debt, exchange-traded futures, options and CFDs.

### **Who is billed for trade copies?**

The receiver is billed for trade copies.



[www.omgeo.com](http://www.omgeo.com)

Copyright © 2009 Omgeo LLC. All rights reserved. All Omgeo service names appearing herein are either registered trademarks or service marks of Omgeo LLC in the United States and elsewhere. Several Omgeo services are regulated by the U.S. Securities and Exchange Commission. For more information, please visit [www.omgeo.com/regulation](http://www.omgeo.com/regulation). HFC0809