About Omgeo

At Omgeo, we are the operations experts, automating trade lifecycle events between investment managers, broker/dealers and custodian banks. We enable 6,500 clients and 80 technology partners in 52 countries around the world to seamlessly connect and interoperate. By automating and streamlining post-trade operations, we enable clients to accelerate the clearing and settlement of trades, and better manage and reduce their counterparty and credit risk. Our strength lies with our global community and our ability to adapt our solutions to enable clients to realize clear returns on their investment strategies, while responding to changing market and regulatory conditions. Across borders, asset classes, and trade lifecycles, Omgeo is the global standard for operational efficiency across the investment industry. Formed in 2001, Omgeo is jointly owned by the DTCC and Thomson Reuters. For more information, please visit **visit www.omgeo.com.**

For more information about Omgeo CTM, please visit **www.omgeo.com/ctm.**

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TradeSuite

Omgeo. Automating the trade life cycle.

Omgeo Central Trade Manager℠

Automated Trade Matching and Allocation/Confirmation Processing for Futures and Options Transactions.

Industry Challenges

Exchange Traded Derivatives (ETDs) – also known as listed derivatives – are a key means of accessing and managing portfolio risk. Pending regulation also has the potential to encourage a significant shift in risk management strategies from OTC to ETDs, increasing the need for post-trade automation. The ETDs marketplace is large, liquid and standardised with established regulatory bodies supervising the trading of listed derivatives including the U.S. Commodity Futures Trading Commission (CFTC) and the Financial Services Authority (FSA) in the UK.

However, there is very little automation in the workflows between executing and clearing brokers and their institutional clients after trade execution. Almost all post-trade allocation communication today is conducted using a fragmented mix of phone, FIX messaging, email and spreadsheets across multiple executing and clearing brokers. In addition to being labour intensive and limited in scalability, this exposes firms to human error and operational risk.

In addition, it is no longer acceptable to show regulators and potential clients a spreadsheet when they wish to see a firm's credit risk processes. Fund managers need to ensure that solid procedures, interfaces, infrastructure and operational processes are in place prior to regulations being implemented.

Our Solution

Omgeo Central Trade Manager (Omgeo CTM) is our strategic platform for the central matching of non-U.S. domestic and cross-border listed derivatives, contracts for difference, equities, and fixed income transactions. By allowing clients to connect and communicate the economic breakdown of trade details accurately and efficiently, Omgeo CTM reduces operational and counterparty risk.

By automating ETDs post trade operations with Omgeo CTM, investment managers can control costs and bring scalability to confirmation, allocation and matching processes, and reduce risk by standardising the asset manager, executing broker and clearing broker workflow.

Realise ROI Today

Omgeo CTM buy and sell side clients who utilise our XML direct interface have access to a matching process supporting full service, give-up and clearing workflows. Omgeo also provides an XML batch file upload interface for buy side clients, while clients seeking a FIX interface will be able to benefit from the same functionality in upcoming Omgeo CTM releases.

Increased Automation and Standardisation Between Buy and Sell Side Counterparties

Omgeo CTM sends electronic allocation messages between investment managers/hedge funds and their executing and clearing brokers.

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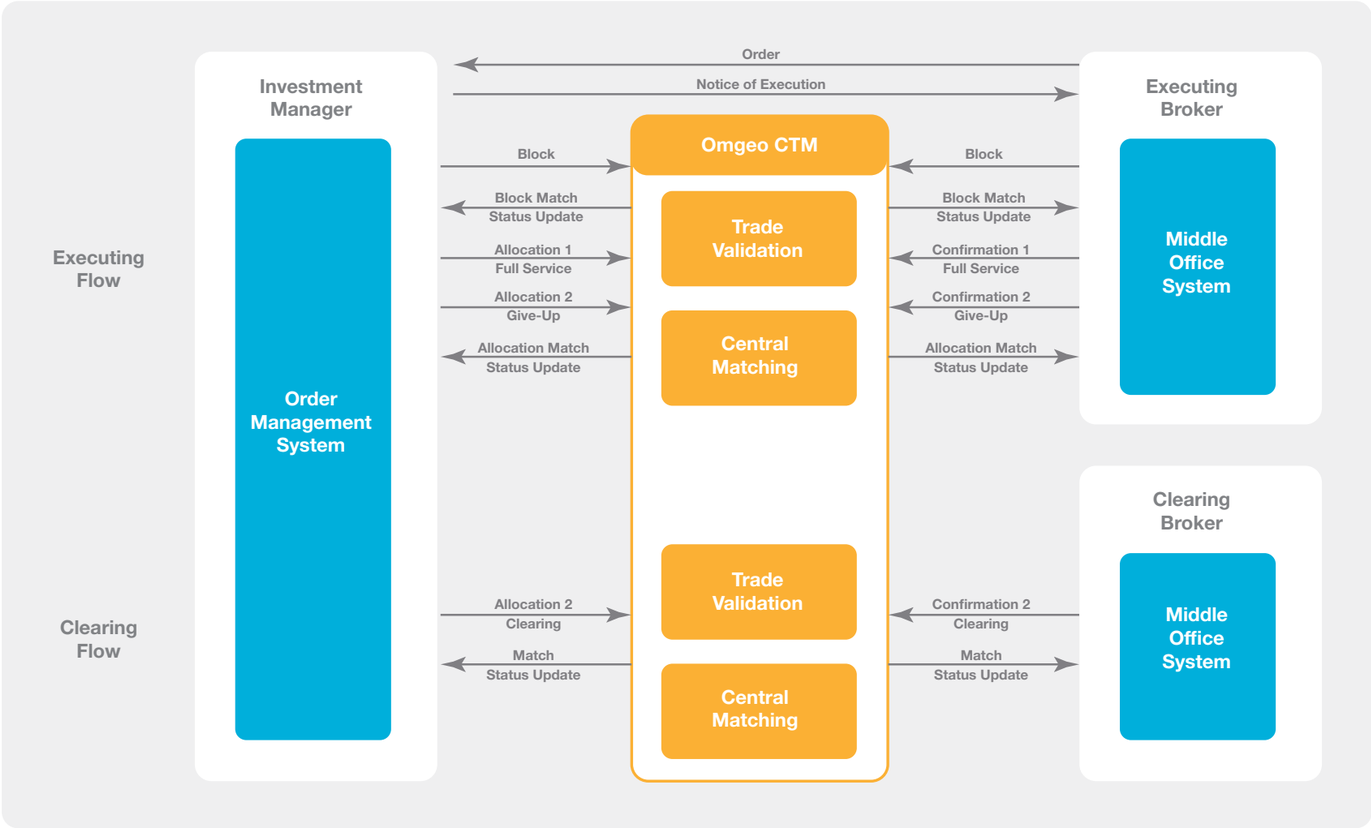
Benefits for the Buy Side

- Reduces manual effort/risk in sending allocations to executing and clearing brokers.
- Enables investment managers to increase capacity and become less cost sensitive to volume fluctuations through increased automation.
- Provides increased transparency for the investment manager between executing and clearing brokers, enabling more proactive resolution of discrepancies.
- Reduces the likelihood and cost of resolving 'out trades' by better enabling clearing brokers to send confirmations on T instead of T+1.
- Reduces the need to manually organise allocations by clearing broker.
- Streamlines allocation by ensuring correct trades are booked to the correct end accounts.
- Enables a more accurate margining process.

Workflow



Benefits for the Sell Side

- Increases the efficiency and speed of allocations sent by investment managers.
- Reduces the risk of trade discrepancies and potential give-up rejections.
- Reduces the number of clients configured to sell side systems (e.g. file mappings and reference data translation).
- Allows matching on Block and ensures allocation sets are complete.
- Enables allocations to be checked off against fills on the exchange and timely submission of give-up information to exchange.
- Reduces risk of accepting invalid trades through positive notification of give ups (e.g. 'carte blanche' scenario).